

NOTICE OF MEETING

Meeting	Executive Member for Adult Social Care and Health Decision Day
Date and Time	Wednesday, 15th January, 2020 at 3.00 pm
Place	Mitchell Room - HCC
Enquiries to	members.services@hants.gov.uk

John Coughlan CBE
Chief Executive
The Castle, Winchester SO23 8UJ

FILMING AND BROADCAST NOTIFICATION

This meeting may be recorded and broadcast live on the County Council's website. The meeting may also be recorded and broadcast by the press and members of the public – please see the Filming Protocol available on the County Council's website.

AGENDA

Key Decision

1. OLDER PERSON'S DAY SERVICES CONTRACT EXTENSIONS
(Pages 3 - 10)

The purpose of this report is to gain approval for the extension of four of the seven existing Older Person's Day Services contracts and their associated spend. These contracts cover the following areas - Hart, Rushmoor, North East Hants, Havant, Petersfield, North West New Forest and South East New Forest.

Non-Key Decisions

2. DEMAND MANAGEMENT AND PREVENTION GRANTS (Pages 11 - 32)

To seek approval for making grant awards to the voluntary and community organisations as part of the Demand Management and Prevention Programme.

3. ADULTS' HEALTH AND CARE: REVENUE BUDGET FOR ADULT SOCIAL CARE 2020/21 (Pages 33 - 48)

To consider a report of the Director of Adults' Health and Care and the Director of Corporate Resources regarding the Revenue Budget 2020/21.

4. ADULTS' HEALTH AND CARE: CAPITAL PROGRAMME FOR ADULT SOCIAL CARE 2020/21 - 2022/23 (Pages 49 - 64)

To consider a report of the Director of Adults' Health and Care and the Director of Corporate Resources regarding the Capital Programme 2020/21 - 2022/23.

ABOUT THIS AGENDA:

On request, this agenda can be provided in alternative versions (such as large print, Braille or audio) and in alternative languages.

ABOUT THIS MEETING:

The press and public are welcome to attend the public sessions of the meeting. If you have any particular requirements, for example if you require wheelchair access, please contact members.services@hants.gov.uk for assistance.

County Councillors attending as appointed members of this Committee or by virtue of Standing Order 18.5; or with the concurrence of the Chairman in connection with their duties as members of the Council or as a local County Councillor qualify for travelling expenses.

HAMPSHIRE COUNTY COUNCIL

Decision Report

Decision Maker	Executive Member for Adult Social Care and Health
Date:	15 January 2020
Title:	Older Person's Day Services contract extensions
Report From:	Director of Adults' Health and Care

Contact name: Gillian Towler

Tel: 01962 845292

Email: Gillian.towler@hants.gov.uk

Purpose of this Report

1. The purpose of this report is to seek approval for spend in respect of exercising the option to extend four contracts for the provision of Older Person's Day Services.

Recommendation

2. That the Executive Member for Adult Social Care and Health approves spend of a maximum of up to £2,983,000 for the 3-year period of the extensions.

Executive Summary

3. This report seeks to:
 - Set out the background to the existing Older Person Day Services Contracts
 - Highlight the impact and benefits of the existing services.
 - Consider the future finance for Older Person's Day Services

Contextual information

4. Following the re-commissioning of the service between 2016 and 2017, contracts were moved to a pay per use basis, whereby Adults' Health and Care only paid providers for the sessions used by people. Further amendments in 2018 saw the contract amended to a pay per booking basis whereby Adults' Health and Care now pay for booked places as opposed to a pay per use model.
5. In 2016, the Executive Member for Adult Social Care approved the arrangements for the putting in place of these 4 contracts for the initial three years with a requirement to seek further approval for further spend in relation

to exercising the option to extend. The terms and conditions of the existing contracts state that the contracts can only be extended for a set period.

6. The existing contracts work on a sessional basis whereby people can attend for a morning or afternoon session or a whole day. On average people attend for 4 sessions a week (2 days). In quarter 1 and quarter 2 for 2019/20, 15,707 sessions have been used across all contracts, including those not considered by this report. The existing contracts have a maximum session limit which would look to be continued, to ensure spending is not over the agreed maximum contracted amount.
7. Older Person's Day Services enable people to maintain their independence and stay within their own home for longer. Older Person's Day Services provide a bridge between when people are no longer able to access community services due to their continence or other care needs but are not yet eligible or ready for residential or nursing care.
8. The service is predominantly buildings based, providing a variety of both physical and cognitively stimulating activities on site, although day trips out to different locations also takes place. Activities include chair-based exercises, intergenerational music with local pre-schools and primary schools, trips on the Basingstoke Canal and to the Rotary Clubs Beach Hut at Lee-on-the-Solent.
9. The service is mainly aimed at people who have a carer as the person's attendance at a day centre also enables the carer to have a break from caring. This supports the achievement of Hampshire County Council's Carers Strategy aim of enabling carers to have a life alongside caring. The service is also targeted at those who are at risk of social isolation.

Finance

10. The extension to the four contracts, as proposed within this report is estimated to have an annual cost of £919,000 excluding inflation.
11. The amount being sought for approval is a maximum contract spend of up to £2,983,000 for all four contracts for the three-year period. This allows for any inflationary increases which will be reviewed and agreed with the provider on an annual basis.
12. Funding for these services will come from the existing revenue budget for which there is currently sufficient provision to meet the estimated cost. Although spend approval is sought for a total of £2,983,000 over three years, the annual expenditure in any given year is still required to be within the budgeted level for this service area as approved by the County Council.

Performance

13. During the 2018/19 financial year, 439 individuals attended an Older Person's Day Service excluding our in-house services. The majority of people attending day services have some form of dementia or memory or cognitive impairment.

14. The Older Persons Day Services are highly valued by the people who attend and their carers. Feedback from carers includes comments that they know their loved ones are safe and therefore they are able to leave them to enjoy some valuable time for themselves knowing they are happy. People who use the service state that they enjoy lots of laughter with friends and that each day is different.
15. Older Person's Day Services enable people to maintain their independence for longer and reduce the need for more costly services such as residential care.

Consultation and Equalities

16. The extension of these contracts will allow for further review of the existing services. Continuation of these services ensures that older people continue to have access to a service which helps to reduce their social isolation and supports them to maintain their independence. People will continue to be supported in a safe and welcoming environment, ensuring their care needs are met.
17. Extending the Older Person's Day Services contracts will also positively impact those living in more rural locations as many day service providers provide a transport service enabling those people who live more rurally to access a day service opportunity. In turn this helps to reduce their social isolation.
18. The Equality Impact Assessment demonstrates a positive impact for older people and people with disabilities as through extending the contracts, this ensures that older people and people with disabilities are able to continue to access the service which will help to maintain their mobility and meet their care needs. The full EIA can be found at the end of this report.

Conclusion

19. Older Person's Day Services provide enablement activities for older people to support them to maintain their independence at home for longer and reduce their risk of social isolation.
20. Older Person's Day services provide an opportunity for carers to have a respite break from caring, enabling them to continue in their caring role for longer.
21. There is a need to approve the spend for the contract extensions to ensure consistency of service availability for service users and carers whilst the service is reviewed.

REQUIRED CORPORATE AND LEGAL INFORMATION:

Links to the Strategic Plan

Hampshire maintains strong and sustainable economic growth and prosperity:	yes
People in Hampshire live safe, healthy and independent lives:	yes
People in Hampshire enjoy a rich and diverse environment:	no
People in Hampshire enjoy being part of strong, inclusive communities:	yes

Other Significant Links

Links to previous Member decisions:	
<u>Title</u> Day Activities for Older People and for People with Physical Disabilities	<u>Date</u> 27 April 2016
Direct links to specific legislation or Government Directives	
<u>Title</u>	<u>Date</u>

Section 100 D - Local Government Act 1972 - background documents	
<p>The following documents discuss facts or matters on which this report, or an important part of it, is based and have been relied upon to a material extent in the preparation of this report. (NB: the list excludes published works and any documents which disclose exempt or confidential information as defined in the Act.)</p>	
<u>Document</u>	<u>Location</u>
None	

EQUALITIES IMPACT ASSESSMENT:

1. Equality Duty

The County Council has a duty under Section 149 of the Equality Act 2010 ('the Act') to have due regard in the exercise of its functions to the need to:

- Eliminate discrimination, harassment and victimisation and any other conduct prohibited by or under the Act with regard to the protected characteristics as set out in section 4 of the Act (age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex and sexual orientation);
- Advance equality of opportunity between persons who share a relevant protected characteristic within section 149(7) of the Act (age, disability, gender reassignment, pregnancy and maternity, race, religion or belief, sex and sexual orientation) and those who do not share it;
- Foster good relations between persons who share a relevant protected characteristic within section 149(7) of the Act (see above) and persons who do not share it.

Due regard in this context involves having due regard in particular to:

- The need to remove or minimise disadvantages suffered by persons sharing a relevant protected characteristic that are connected to that characteristic;
- Take steps to meet the needs of persons sharing a relevant protected characteristic that are different from the needs of persons who do not share it;
- Encourage persons sharing a relevant protected characteristic to participate in public life or in any other activity in which participation by such persons is disproportionately low.

2. Equalities Impact Assessment:

Older Person's Day services Contract Extensions

Accountable officer: Gillian Towler

Email address: gillian.towler@hants.gov.uk

Department: Adults' Health and Care

Date of assessment: 17/12/2019

Description of current service/policy

The Older Person's Day Service provides activities for people over the age of 65 to help reduce social isolation and support them to maintain their independence at

home. Day services also provide a valuable respite for carers, enabling them to continue within their caring role.

Geographical impact:

East Hampshire, Hart, Havant, New Forest, Rushmoor

Description of proposed change

It is proposed to extend the existing Older Person's Day service Contracts for a period of 3 years for lots 1a Hart and Rushmoor, 2b, Havant and Petersfield, 4a North West New Forest and lot 4b South East New Forest.

Impacts of the proposed change

This impact assessment covers Service users

Engagement and consultation

Has engagement or consultation been carried out? No

No consultation has taken place with service users as this change is about ensuring a consistency of service for the short-term future. Discussions have taken place with providers and operational staff who value the existing day services offer.

Statutory considerations

Age: Positive

By extending the existing older Person's Day Service contracts, this ensures that older people, over the age of 65 continue to have access to a service which helps to reduce their social isolation and supports them to maintain their independence.

Disability: Positive

Many people attending a day service will have some form of physical or mental impairment. By extending the existing contracts, these people will continue to be supported in a safe and welcoming environment, ensuring their care needs are met.

Sexual orientation: Neutral

Race: Neutral

Religion and belief: Neutral

Gender reassignment: Neutral

Gender: Neutral

Marriage and civil partnership: Neutral

Pregnancy and maternity: Neutral

Other policy considerations

Poverty: Neutral

Rurality: Positive

Many of the day services operational under the existing day service contracts provide a transport service enabling those people who live more rurally to access a day service opportunity. In turn this helps to reduce their social isolation.

Neutrality statement (if all considerations have a neutral impact) Any other information

Extending the existing Older Person's Day Service contracts for lots 1a, 2b, 4a and 4b will positively impact older people and people with a physical or mental impairment, especially those with a dementia. The contract extensions will ensure the continuation and therefore consistency of service for those currently accessing the services and will ensure a future service provision for those that need it. The extension of the existing Older Person's Day Services contracts ensures access to positive and stimulating physical and mental activities as well as providing a respite break for carers. This supports people to reduce their social isolation and maintain their independence for longer as well as supporting carers to continue in their caring role.

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HAMPSHIRE COUNTY COUNCIL

Decision Report

Decision Maker:	Executive Member for Adult Social Care and Health
Date:	15 January 2020
Title:	Demand Management and Prevention Grants
Report From:	Director of Adults' Health and Care

Contact name: Sarah Snowdon
Peter Stokes

Tel: 01962 845389

Email: Peter.Stokes@hants.gov.uk

Purpose of this Report

1. The purpose of this report is to seek approval for making grant awards to the voluntary and community organisations outlined in this report as part of the Demand Management and Prevention Programme.

Recommendation(s)

2. That approval be given by the Executive Member for Adult Social Care and Health to award the following grants:
 - i) To award grants totalling £237,799 to cover a 2 year period between 1 Feb 2020 and 31 Jan 2022 as part of the Local Solutions Grant as detailed in Appendix 1.
 - ii) To award Age Concern Hampshire a grant totalling £40,000 to cover a twelve month period between 1 April 2020 and 31 March 2021 as part of the Rural Connections Grant.
 - iii) To award MHA a grant totalling £240,000 to cover a 1 year period between 1 April 2020 and 31 March 2021 as part of the Community Based Support Grant.
 - iv) To award Good Neighbours a grant totalling £145,000 to cover a 2 year period between 1 April 2020 and 31 March 2022 as part of the Neighbourhood Care and Support Grant
 - v) To award Autism Hampshire a grant of £49,894 to cover a period of 1 year between 1 April 2020 and 31 March 2021 as part of the Autism Support Grant.
3. That the Executive Member for Adult Social Care and Health give approval for putting in place a revised Local Solutions Grant programme from April 2020 to

include receipt of grant applications throughout the year and confirms the continuation of the other grant programmes set out in this report.

Executive Summary

4. This report seeks to...
 - Set out the background to the grants
 - Set out the reason for the recommendations
 - Consider the finance for the project
 - Look at key issues
 - Briefly consider the future direction of the project.

Contextual information

Background

5. The Voluntary and Community Sector (VCS) contributes to improving people's quality of life. The grants programme is one of the ways in which the County Council supports the sector to support people to live fuller more independent lives.
6. A voluntary organisation may be considered for grant aid from the County Council only if its services, projects or activities are in compliance with the aims and objectives, priorities and policies of the County Council.
7. Grants are awarded to support services that are better provided by the voluntary sector e.g. the mobilisation of community resources to help vulnerable people maintain their independence.
8. A grant is defined as a sum of money to support a particular activity. It does not usually cover the entire cost of the activity and it is legally considered to be a one-sided gift, rather than a payment in exchange for services.
9. Voluntary and community organisations provide valuable locally based services that are often rooted in the communities which they serve. Significant benefit is produced through this activity, often through voluntary action and focused towards activity that clearly assists in providing early intervention and prevention initiatives. Grant funding by the County Council contributes to, and helps sustain, this activity.
10. As grants are a contribution to service or activity costs the economic benefit to the department can be significant, leveraging in additional funding, the benefit of volunteer time and therefore providing good value for money to the authority.
11. Organisations will not normally be eligible for grants where they hold balances in excess of one year's running costs. Those organisations receiving recurring funding which hold in excess of three months' running costs, and where they cannot demonstrate through their reserves policy that these reserves are justified, may receive a reduced grant. To establish the level of reserves, organisations are required to provide a set of their latest accounts and annual report with their application and before grant payment is made –

in the case of organisations with an income of £10,000 or above, these must be independently examined or audited. If organisations have reserves in excess of three months, we will apply the reserves policy which is in line with the Charity Commission's policy on these matters.

Demand and Prevention Programme

12. Prevention, incorporating Demand Management is one of the three key areas identified to achieve the Vision of Adults' Health and Care, as detailed in the Adult's Health and Care Strategy 2018.
13. The Demand Management and Prevention work will build on people's strengths, enabling them to improve their health and take more personal responsibility for looking after themselves with support from their family, friends and community network. The Council will encourage this by making the healthy choice the easy choice and developing accessible, inclusive and readily available information and advice services. The Council will also carry out targeted prevention work for certain groups of people who are most at risk of poor health to keep them well and to avoid or delay the need for social care services. The Council will work with partners, in particular the NHS, GPs and the Voluntary sector to achieve the above aims.
14. In alignment with the overall Demand Management and Prevention Programme Strategy, the five year objectives for the Demand Management and Prevention Programme are:
 - More people will be keeping fit and well in the community, reducing the need and demand for health and social care services.
 - Information and advice via Connect to Support will be routinely accessed to enable people to make informed choices about their care and support.
 - The County Council's contact centre will resolve the majority of client enquiries for help and support.
 - Private pay care technology solutions will be routinely requested and provided.
 - Community support offers will be increasingly known about, better trusted and more widely used.
 - Fewer people will be socially isolated or people will be better connected and Carers will be better supported.
15. A number of countywide grants and contracts are awarded as part of the Demand Management and Prevention programme, all designed to complement and deliver the aims and objectives of the programme. These grants enable services to be delivered across Hampshire, such as Community Based Support, Autism Support, Neighbourhood Care and Support, and Rural Connectors. In addition, a number of smaller place-based grants are also required awarded as part of the programme of work, in response to specific community needs identified within that locality. These place-based grants include the Local Solutions Grants discussed in this report.
16. In this report all grants being recommended follow on from an advertised programme that is open for applications from relevant organisations.

Local Solutions Grant

17. It is recognised that across Hampshire there are local initiatives, support networks and services achieving positive outcomes for adults every day. A strength-based approach values these local provisions and seeks to enable them to further develop, be sustained and grow. This approach recognises that the County Council is often not the only, or the best, source of help for local people.
18. Services developed through this locality focused approach (either through building capacity in existing provision or through new initiatives) are likely to enable early prevention support, as well as shaping options which may be attractive alternatives to some traditional services currently available for individuals, carers and families. The prioritisation of localities to focus upon within this approach has been informed by data on demographics, existing and projected social care demand, and feedback from stakeholders. This will help to ensure that local people have effective support available now and into the future.
19. The focus and criteria for each Local Solution Grant has been shaped by engagement with local stakeholders. This engagement has informed identification of the local priorities, the outcomes which are important to be achieved and the potential type of solution which could be developed. They are also aligned to the NHS programme to support self-management, which assists individuals to remain independent and minimising their need for social care. Some grants are more specific in what is being asked to be delivered and others outline more generally the focus of the change required. Across all, it will be looked for the successful bidder(s) to ensure local insight and expertise continue to shape the support and services as they further develop, in order to deliver positive outcomes. Local stakeholders were also present on each of the grant panels, so that the local 'voice' informed the decision making.
20. In this paper, local solutions grants are recommended for communities within Basingstoke, East Hants, Fareham, Hart, Havant, New Forest and Rushmoor, based on the local stakeholder engagement. The areas covered in this grant round build on those covered in Round 1 (awarded July 2019) with new areas included and areas where there were funds were available in Round 1 but not fully awarded being re- advertised where appropriate. It is planned that there will be further Local Solutions Grants available throughout 2020, working to an amended process allowing grants to be open in all areas of Hampshire simultaneously.
21. In this round a specific need for greater support for residents of working age with a physical disability was identified in all areas of the county. As such a grant criteria was included in all areas under the title 'Peer Support', forming part of the wider Local Solutions Grants.

Countywide Grants

Rural Connections Grant – Age Concern Hampshire

22. The Rural Connections Grant will enable an organisation to provide a service that develops and supports local volunteers in rural settings to provide information and advice for vulnerable adults in their communities, and to facilitate connections with other services and assets (e.g. community groups and buildings). In particular the focus will be on supporting people at risk of social isolation and loneliness.
23. There are currently over 50 trained volunteers operating in different rural locations across Hampshire, making 1200 supportive interactions with clients annually. The service is preventative in nature, aiming to encourage and capacity build so that people stay well and independent in their communities for as long as possible. This is of particular importance in rural communities where providing care is more challenging and costly.
24. The organisation selected will expand the existing service to other rural areas not currently covered and ensure that it takes in a greater number of residents at risk of falling into social isolation and loneliness. The selected organisation will work closely with HCC to ensure the areas of greatest need are targeted for expansion of the service as well as working with the County Council to develop outcome measures which will evidence the impact of the scheme on both the wellbeing of residents and on the costs of care incurred by HCC.

Community Based Support Grant - MHA

25. The Community Based Support Grant supports the development of a community based support service in Hampshire. The community based support model fits within the prevention and early intervention landscape: to support older people on the cusp of care within their local communities. These support solutions will be based in a local venue which will act as a hub for the local community, encourage social interaction and promote healthy lifestyles for users. The range of support solutions will include befriending, support for carers, services which keep people in contact, transport and meals, social activities and wellbeing services.
26. Funded over the past 3 years the current Live at Home Scheme which operates under this model benefits over 1800 residents across over 100 clubs with an aim to increase this to over 2300 in the coming year, expanding the offering to include home visits for severely isolated individuals, additional clubs at new locations across the county and continuing to ensure that transport is an integral part of the offering.
27. The Community Based Support Grant is a contribution to the operation of the services provided. The organisation awarded the funding are expected to secure funding from other sources, as well as charging a small fee to those that use the service, when they can afford to do so. As a result the award made by the County Council is expected to account for no more than 33% of the total funding of the services provided.
28. Whilst previous funding decisions have covered multiple operating years, this grant will be awarded for one year which will enable the applicant to seek alternative funding sources to ensure the long term viability of the project.

Neighbourhood Care and Support Grant – Good Neighbours Network

29. The Neighbourhood Care and Support Grant will enable an umbrella organisation to provide advice and support to a network of smaller local organisations whose purpose is to:
- i) Support vulnerable individuals in the community so that those individuals maintain their independence leading to a reduced demand for council services.
 - ii) To work with these neighbourhood organisations so that they understand local needs and develop support to meet those needs.
30. The role of the organisation awarded the grant will be to promote, support and develop neighbourhood level groups so that they thrive by helping them provide safe, sustainable support to vulnerable individuals, provide advice to enable people to utilise their resources and assist them to develop support that meets the needs of their community.
31. There are over 120 neighbourhood level groups currently operating within Hampshire providing a wide range of services, such as befriending, social activities and transport assistance. Last year these groups supported around 31,000 Hampshire residents undertaking 81,500 interventions with over 4000 volunteers. This expected level of activity was supported by a grant of £100,000 to an umbrella organisation, with no direct County Council funding being provided to the individual groups. These interventions can, divert and delay the requirement for social care services. Evaluations of the neighbourhood level groups over the last two years showed strong improvements for individuals, in particular in greater use of community resources and increased independence. The organisation selected for funding will help support these existing groups to ensure they continue to best meet the needs of vulnerable residents in line with priorities of the County Council, through best practice, training and development.
32. Previously this grant has been awarded over a 12 month period. The grant award period has been increased to 2 year for this award, with the amount being rewarded decreasing by 27.5%. The extended timeframe will allow the organisation who has been providing these services to adapt to the reduced amount of grant funding and seek alternative funding sources over a longer period of time.

Autism Support Grant – Autism Hampshire

33. The Autism Support Grant will continue to fund services to support people with autism before, during and post diagnosis with advice, guidance, signposting/support through referrals from the diagnostic services across Hampshire. The advice, guidance, signposting and support will be tailored to the individual with the aim of promoting and supporting their independence wherever possible. The outcomes will include preventing escalation of situations into crisis for those within the diagnosis process, and providing support linked to the diagnostic assessment with recommendations to support the uniqueness of each person's individual autism needs. Consequently,

these services will continue prevent, reduce and divert demand on County Council services.

34. The organisation recommended by the panel for the grant award next financial year are experienced in supporting Hampshire residents on the autism spectrum and have provided services to meet these individual's diverse needs for a number of years. Autism Hampshire were also awarded the Autism Support Grant last financial year and have since reported on a number of outputs that support the overall aim of promoting and supporting the independence of Hampshire residents with autism wherever possible.
35. The top 3 areas of support requested by of this service in the last financial year were: advice and emotional support, employment and autism diagnosis. Overall, support has been provided in many different forms; telephone and email contact, face to face meetings, providing social group opportunities and supporting people to access appointments or interviews. The support provided by Autism Hampshire has resulted in outcomes such as: people accessing environments and services which they were unable to previously, increased understanding and awareness of autism within local communities, and, increased resilience and opportunities for people.
36. Autism Hampshire also provides support and co-ordination for the Serendipity Social Groups. As part of this grant, Autism Hampshire is expected to continue assisting with the recruitment of volunteers for further establishment of Serendipity Groups, where there are currently identified gaps within Hampshire. Serendipity Groups are user-led social groups for those with Asperger's and High Functioning Autism. Many people with autism are severely disadvantaged by the difficulties they have with social interaction, communications and sensory issues and can easily become isolated from their community. The Serendipity Groups are designed to prevent this isolation and receive positive feedback from users.
37. The grant-funded service will also provide 'light touch' support, as required, to accompany individuals to attend initial taster sessions to community based services and activities, to enable the person to feel more confident in attending independently. The services provided by the Autism Support Grant will therefore help to reduce isolation through supporting social needs and goals, and improve home life by providing stability for people with autism and their families through telephone support to people with autism, their families and paid carers/professionals.
38. The successful applicant will also be expected to market and advertise the other autism services available in Hampshire to maximise their uptake and benefit as many as possible. The current service is referred to by the County Council's call centre, community teams, and other partner organisations, helping to reduce and divert demand away from County Council services. It provides a point of contact for professionals and supports the communication of services and opportunities to people with autism and their carers.

Finance

39. The grant proposal in this report will commit additional expenditure totalling £712,694 over a two year period commencing in 2019/20. The expenditure

has been profiled between years as follows: £176,925 in 2019/20, £470,719 in 2020/21 and £65,000 in 2021/22. Subject to approval of this report the total grants committed for payment will remain within the agreed, (2019/20) and anticipated, (2020/21 & 2021/22) annual budget envelopes for the Demand Management and Prevention Programme.

40. Payment of the majority of Local Solutions grants will be made in one instalment with multiple instalments used for awards in excess of £10,000 (proposed payment schedules outlined in Appendix 1). As the four countywide grants recommended for award are for significantly higher amounts, these grants will be paid in two instalments at 6 monthly intervals for those of a years duration and twice annually for those with 2 year duration. The first payment for all grant awards will be made on signature of the Grant Agreement, with payment of the remainder of the grant being made at the agreed intervals outlined in the Grant Agreement. All Grant Agreements have conditions that enable the County Council to require repayment of the award or any part of it if it remains unspent at the end of the grant period, or if there is a material breach of the grant agreement.

Performance

41. The provision of grants to voluntary and community sector organisations by statutory bodies always presents a degree of risk. Specific risks that statutory bodies are required to manage include voluntary and community organisations accepting funding without providing any activity; organisations not delivering the service as expected; and there being an under spend on the expected activity. This applies to all grants however; larger grants represent a potentially higher risk to the County Council.
42. A number of mechanisms have been employed successfully over a number of years to mitigate and alleviate these risks. These include nominating a liaison officer from the County Council whose responsibility is to monitor how the grant is spent, specifying within the grant agreement that the grant is 'restricted' funding for the provision of the specified activity only and phasing the payment of grants over the course of the award duration.
43. Awards under the Rural Connections, Community Based Support, Neighbourhood Care and Support and Autism Support Grants have all be made in previous financial years and as such performance will be measured against previous provision with the assigned liaison officer tasked with outlining success factors prior to the commencement of the grant. Future instalment payments will be decided upon based on performance against these success factors e.g. volume of referrals, growth in provision and Hampshire County Council costs diverted.
44. The Local Solutions Grants will also include measures to define the volume of referrals taken and how much care costs have been diverted. The precise details of this performance framework will be subject to further discussion and subsequent agreement with the grant recipients prior to accepting the grant. The measures agreed will be used amongst other measures, including those collected in relation to the Countywide Grants, to quantify and qualify the cost

avoidance of the Local Solutions grant spend, specifically in relation to the efficacy in offsetting demand that would otherwise fall on formal Social Care services. Performance will be monitored through senior internal governance structures. It is envisaged that the financial benefits derived will exceed the one-off cost invested provided that the activity undertaken is in accordance with the grants. The activity will be monitored and reviewed over the life of the award to ensure it remains on track.

45. A comprehensive evaluation assessment tool has been developed and is in use for the beneficiaries of Round 1 grants and will continue to be used for those awarded funding in Round 2, ensuring consistency of data capture.
46. All organisations awarded a grant sign a declaration stating they accept that grant funding can only be awarded for the given period and no commitment exists from the County Council to continue funding after this time, or in subsequent years.

Future Direction - Local Solutions Grant

47. Subject to Executive Member approval, it is proposed that from April 2020 onwards the Local Solutions Grant programme will move from a round based approach to a year-round process with agreement to present grants over for Executive Member decision up to 3 times yearly. These changes would be implemented for all future Local Solutions Grant awards and not those which are included for consideration in this paper. The intention would be that the level of funds allocated per area would be based on the level of local need as identified through County Council data and feedback from local teams, with minimum funding levels for each area applied to ensure a basic level of equitable distribution.
48. Expression of Interest Forms would be introduced for applicants to complete prior to a formal application. This would allow County Council officers to work with prospective applicants to tailor their projects to better meet local need, source match funding from business and other sources and enable a greater level of collaboration through relationship building. Expression of interest forms would improve the process for applicants, allow greater levels of support where needed from County Council Officers and promoting more open and collaborative working relationship.
49. The removal of time restrictions for applications would allow the County Council to work in partnership with District, Town and Parish councils as well as NHS organisations in localities to better understand the specific requirements in individual communities and promote collaborative funding of projects where appropriate to do so. An example of this can be seen in the Citizens Advice application for East Hampshire where the Grant Panel was attended by a representative of East Hampshire District allowing the project to be joint funded. Relationships have been built with District Councils throughout the Phase 1 and 2 grants, but feedback suggests that the short time window for applications restrict the amount of collaborative work which can take place, this new approach seeks to address this.

Consultation and Equalities

50. It is for the Executive Member as decision maker to have due regard to the need to: eliminate discrimination, harassment, victimisation and any other conduct prohibited under the Equality Act and advance equality of opportunity and foster good relations between persons who share a relevant protected characteristic and persons who do not share it.
51. The Local Solutions Grants (see Appendix 1), Rural Connections Grant, Community Based Support Grant, Neighbourhood Care and Support Grant and the Autism Support Grant will have a positive impact on older adults aged 65 and over, as well as adults with physical disabilities, as the awards will enable either new or expanded services to those belonging to these protected groups. Also, some of the projects recommended for award from the Local Solutions Grant will have a positive impact on adults in poverty, as they will be offering services designed to maximise a user's income through benefit checking, giving assistance with benefit claims and supporting with financial management.
52. The Local Solutions Grants have been shaped in their design by local stakeholder engagement, including those working in the local voluntary community sector, local councillors as well as staff working for Adults' Health and Care, Health and from local councils. Each of the grants panels was held locally with representatives from the local community part of the decision making process.
53. The Countywide grants have all been funded before with similar criteria and so seek to continue the provision these services provide to the community.

Conclusions

54. The organisations receiving a Local Solutions Grant will provide services identified at a local level as required to either prevent or delay adults from requiring social care involvement or will reduce their need for care by providing alternative solutions.
55. The proposed changes to the Local Solutions Grants from April 2020 will enable a more open and collaborative approach to working with voluntary organisations in local communities, as well as increasing the opportunity for collaborative funding of applications with other funders.
56. The Rural Connections Grant will seek to connect individuals in rural locations to the facilities and services in their local community and reduce social isolation and loneliness in users.
57. The Community Based Support Grant will build connections and support older residents across Hampshire to become less isolated, more engaged with their local community and more physically active.
58. The Neighbourhood Care and Support Grant will continue to support local volunteer groups to support older residents in day to day tasks, ensuring they remain healthy, active and able to attend essential medical appointments.

59. The Autism Support Grant will support residents living with autism and those who have been recently diagnosed and their families to understand their condition, support with lifestyle choices such as housing and employment and provide telephone support when needed for all users.

REQUIRED CORPORATE AND LEGAL INFORMATION:

Links to the Strategic Plan

Hampshire maintains strong and sustainable economic growth and prosperity:	no
People in Hampshire live safe, healthy and independent lives:	yes
People in Hampshire enjoy a rich and diverse environment:	no
People in Hampshire enjoy being part of strong, inclusive communities:	yes

Other Significant Links

Links to previous Member decisions:	
<u>Title</u> Demand Management and Prevention Grant Award	<u>Date</u> 27 February 2019
Demand Management and Prevention Grant Award	24 July 2019
Direct links to specific legislation or Government Directives	
<u>Title</u>	<u>Date</u>

Section 100 D - Local Government Act 1972 - background documents	
<p>The following documents discuss facts or matters on which this report, or an important part of it, is based and have been relied upon to a material extent in the preparation of this report. (NB: the list excludes published works and any documents which disclose exempt or confidential information as defined in the Act.)</p>	
<u>Document</u>	<u>Location</u>
None	

EQUALITIES IMPACT ASSESSMENT:

1. Equality Duty

The County Council has a duty under Section 149 of the Equality Act 2010 ('the Act') to have due regard in the exercise of its functions to the need to:

- Eliminate discrimination, harassment and victimisation and any other conduct prohibited by or under the Act with regard to the protected characteristics as set out in section 4 of the Act (age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex and sexual orientation);
- Advance equality of opportunity between persons who share a relevant protected characteristic within section 149(7) of the Act (age, disability, gender reassignment, pregnancy and maternity, race, religion or belief, sex and sexual orientation) and those who do not share it;
- Foster good relations between persons who share a relevant protected characteristic within section 149(7) of the Act (see above) and persons who do not share it.

Due regard in this context involves having due regard in particular to:

- The need to remove or minimise disadvantages suffered by persons sharing a relevant protected characteristic that are connected to that characteristic;
- Take steps to meet the needs of persons sharing a relevant protected characteristic that are different from the needs of persons who do not share it;
- Encourage persons sharing a relevant protected characteristic to participate in public life or in any other activity in which participation by such persons is disproportionately low.

2. Equalities Impact Assessment:

The award of grants to the voluntary and community sector to support people to live long, healthy and happy lives with the maximum possible independence, as part of the demand management and prevention programme and in-line with Adult's Health and Care Strategy 2018.

Grants in this paper:

Local Solutions Grant in Basingstoke, East Hants, Fareham, Hart, Havant, New Forest and Rushmoor areas - period 1 Feb 2020 - 31 January 2022. Total Pot: £237,799

Rural Connections Grant Awarded to Age Concern Hampshire – period 1 April 2020- 31 March 2021 Total award: £40,000

Community Based Support Grant Awarded to MHA – period 1 April 2020- 31 March 2021 Total award: £240,000

Neighbourhood Care and Support Grant Awarded to Good Neighbours – period 1 April 2020- 31 March 2022 Total award: £145,000

Autism Support Grant Awarded to Autism Hampshire – period 1 April 2020- 31 March 2021 Total award: £49,894

Geographical impact: Basingstoke & Deane, East Hants, Fareham, Hart, Havant, New Forest and Rushmoor for Local Solutions and Countywide for other grants.

Proposed Change:

All the Local Solutions Grants recommended for award are new and have not been awarded to any organisation for these purposes before. These are in addition to other grants currently awarded on behalf of Adults' Health and Care.

Local Solutions Grant are new grants to provide services addressing locally identified needs. These areas identified in this round have been chosen by scale of existing social care need and demographic data. There is intention to hold future grant rounds so that each district is reached.

The countywide grants have been provided before and funding proposed in this paper will seek to extend funding to continue the provision for an additional time period.

Who does this impact assessment cover?: Service users

Has engagement or consultation been carried out?: Yes

Describe the consultation or engagement you have performed or are intending to perform: The Local Solutions Grants have been shaped in their design by local stakeholder engagement, including those working in the local voluntary community sector, local councillors as well as staff working for Adults' Health and Care, Health and from local councils. Each of the grants panels was held locally with representatives from the local community part of the decision making process.

The Countywide Grants fund services which have already been provided in the community with ongoing assessments and evaluations carried out on effectiveness of the solutions by Officers on a regular basis. Consultation is carried out with users and case- studies and client volumes monitored on a regular basis.

Age: Positive

Impact: Both the Local Solutions Grants and the Connector Grants will seek to offer either new or expanded services to Older Adults aged over 65 years.

Disability: Positive

Impact: The Local Solutions Grants offer a specific service (Peer Support) which will seek to address a gap in support provision for residents of working age with a

physical disability. The Autism Support Grant seeks to continue the support offered to clients with Autism and their families.

Sexual orientation: Neutral

Race: Neutral

Religion or belief: Neutral

Gender reassignment: Neutral

Gender: Neutral

Marriage or civil partnership: Neutral

Pregnancy and maternity: Neutral

Poverty: Positive

Impact: Some of the Local Solutions Grants will fund services in communities identified of higher deprivation, with the aim that the services to be funded will improve access to support that otherwise might be prevented due to cost. Furthermore some organisations recommended for funding will be offering services designed to maximise a user's income through benefit checking, support with financial management and giving assistance with benefit claims.

Rurality: Neutral

LOCAL SOLUTIONS GRANT RECOMMENDATIONS BY AREA

Basingstoke Grants

Organisation	Project/Service	Description	Recommended Award	Payment Instalments
Sycamore Halls Community Association	Chair Yoga for the over 55s	Grant applied for in order to build on the existing over 55s lunch club at the community centre and incorporate instructor led exercise activity to support people to be active and mobile for longer.	£5,000	One off payment on award
Fitzroy	Love for Life	Grant application made to expand the current service, running successfully in Fareham to the Basingstoke area. Working with the PD client group the project seeks to help users build social and romantic networks and to live independent and more connected lives.	£5,000	One off payment on award
Westside Community Association	Healthy Aging	Application made to run a range of health based activities in the currently under utilised community centre. Activities will be aimed at the over 65s and have a range of difficulties to suit all users, enabling people to build strength, promote their own health and tackle social isolation.	£5,000	One off payment on award

Sub Total £15,000

East Hants Grants

Organisation	Project/Service	Description	Recommended Award	Payment Instalments
Citizens Advice East Hants	Hants Help and Home	Grant applied for to extend the current CA offer out in the community to include extensive home visitation for clients unable to leave their homes. Will build financial resilience in users, boost confidence and tackle debt related mental health issues in users.	£7,100	One off payment on award

MHA	East Hants Befriending	Application to run an active befriending service in both Hart and East Hants districts, focussing primarily on those unable to leave their homes, using home visitation to boost confidence and connect people to the wider community.	£26,450	3 equal instalments on a 6 monthly basis starting on award
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Sub Total £33,550

Fareham Grants

Organisation	Project/Service	Description	Recommended Award	Payment Instalments
Citizens Advice Fareham	Stay Safe, Smart and Well	Grant applied for to extend the current CA offer out in the community to include extensive home visitation for clients unable to leave their homes. Will build financial resilience in users, boost confidence and tackle debt related mental health issues in users.	£35,000	4 equal instalments, first on award and then 6 monthly thereafter
Dementia Friendly Hampshire	Dementia PALS	Dementia support service focussed on new ways of maintaining cognitive function and promoting health amongst residents with dementia. Supporting both clients and their carers this project will be delivered across both Havant and Fareham to ensure the best support for users.	£5,000	One off payment on award
One Community	Back to the Future	Application to extend the successful project already running in Eastleigh to Fareham. Working with older persons and also those with dementia to share past experiences, build networks and break down social isolation. Project will run for 2 years and support both clients and their carers.	£10,000	One off payment on award

Sub Total £50,000

Hart Grants

Organisation	Project/Service	Description	Recommended Award	Payment Instalments
MHA	Befriending Hart and East Hants	Application to run an active befriending service in both Hart and East Hants districts, focussing primarily on those unable to leave their homes, using home visitation to boost confidence and connect people to the wider community.	£17,300	2 equal instalments made 6 monthly starting on award
Page 28 Citizens Advice Hart	Money Management and Advice at Home	Grant applied for to extend the current CA offer out in the community to include extensive home visitation for clients unable to leave their homes. Will build financial resilience in users, boost confidence and tackle debt related mental health issues in users.	£12,900	2 equal instalments first on award and second in 6 months pending conditions met
Hart Voluntary Action	Fleet Forum	Grant applied to in order to fund a quarterly forum aimed at residents over 55 in the Fleet area. Outputs will be used by HCC and other organisation to tailor support in the area based on older residents needs.	£9,800	One off payment on award

Sub Total £40,000

Havant Grants

Organisation	Project/Service	Description	Recommended Award	
Dementia Friendly Hampshire	Dementia PALS	Dementia support service focussed on new ways of maintaining cognitive function and promoting health amongst residents with dementia. Supporting both clients and their carers this project will be delivered across both Havant and Fareham to ensure the best support for users.	£5,000	One off payment on award

Sub Total £5,000

New Forest Grants

Organisation	Project/Service	Description	Recommended Award	Payment Instalments
HOPE New Forest	Help and Advice Centre	Application will expand the service which is currently on offer in the New Forest to two new areas which have been highlighted by Adults Health as areas of particular need. Focussed on connecting and signposting older adults into services and providing confidence building, financial independence and emotional support, enabling people to access these who would not otherwise be able to.	£5,000	One off payment on award
Forest Forge Theatre	Act Your Age	Application will support a theatre group for older persons who will work with clients to break down social isolation and loneliness, building confidence through a strength based approach. Sessions will be run for 3 months at a time and aimed at people over 65 years.	£6,250	One off payment on award
HaRT	Wellbeing Wednesdays	Grant application would fund a range of supported activities aimed at tackling social isolation and improving networks, building user resilience and wellbeing. Activities will be user driven and focus on the skills of the individual rather than being pre- determined.	£7,000	One off payment on award

Memoryworks Hampshire	NEW CIC	Application seeks to fund new CIC for innovative approach to dementia support and care. Support will be provided to those living with dementia, their friends, family and carers.	£10,000	One off payment on award
Avon Valley Dementia Pals	Dementia PALS	Grant application will fund dementia support, building on the existing offering which is used by community teams already across other areas of the county and bring the service to the New Forest.	£999	One off payment on award

Sub Total £29,249

Rushmoor Grants

Organisation	Project/Service	Description	Recommended Award	Payment Instalments
Citizens Advice Rushmoor	Money Management-Meeting Local Needs	Grant applied for to extend the current CA offer out in the community to include extensive home visitation for clients unable to leave their homes. Will build financial resilience in users, boost confidence and tackle debt related mental health issues in users.	£15,000	2 equal instalments first on award and second in 6 months pending conditions met
The Vine Centre	Shoulder to Shoulder	Grant application would provide a trained support worker for 2 days a week across the 3 most deprived wards in Rushmoor to offer home support on a range of topics, supporting people who cannot access traditional community resources. Support will focus on building confidence, re-engaging people with their communities and offering practical help with a range of small tasks.	£10,000	One off payment on award

Sub Total £25,000

Peer Support Grants

Organisation	AREAS	Description	Recommended Award	Payment Instalments
Disabled Peoples Voice	Eastleigh, Winchester, Fareham, Gosport and Havant	Building confidence and networks amongst those with PD. Identified as very much needed by the PD team and as something which will support independent living in the long term and be key to preventing reliance on HCC services.	£25,000	One off payment on award
Rushmoor Healthy Living	Rushmoor Hart and East Hants	Building confidence and networks amongst those with PD. Identified as very much needed by the PD team and as something which will support independent living in the long term and be key to preventing reliance on HCC services.	£15,000	One off payment on award

Sub Total £40,000

Total Local Solutions Grant recommended for award £237,799

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HAMPSHIRE COUNTY COUNCIL

Decision Report

Decision Maker:	Executive Member for Adult Social Care and Health
Date:	15 January 2020
Title:	2020/21 Revenue Budget Report for Adult Social Care
Report From:	Director of Adults' Health and Care and Deputy Chief Executive and Director of Corporate Resources

Contact name: Graham Allen, Director of Adults' Health and Care
Dave Cuerden, Finance Business Partner

Tel: 01962 845875 **Email:** graham.allen@hants.gov.uk
01962 847473 dave.cuerden@hants.gov.uk

Section A: Purpose of this Report

1. The purpose of this report is to set out proposals for the 2020/21 budget for Adult Social Care in accordance with the Council's Medium Term Financial Strategy (MTFS) approved by the County Council in November 2019.

Section B: Recommendation(s)

To approve for submission to the Leader and the Cabinet:

2. The revised revenue budget for 2019/20 as set out in Appendix 1.
3. The summary revenue budget for 2020/21 as set out in Appendix 1, subject to approval by Cabinet of the provisional cash limits.
4. The proposed fees and charges as set out in Appendix 2.

Section C: Executive Summary

5. The deliberate strategy that the County Council has followed to date for dealing with grant reductions and the removal of funding that was historically provided to cover inflation, coupled with continued demand pressures over the last decade is well documented. It involves planning ahead of time, through a two-yearly cycle, releasing resources in advance of need and using those resources to help fund transformational change.

6. This strategy has served the County Council, and more particularly its services and community well, as it has delivered transformation programmes on time and on budget with maximum planning and minimum disruption. Put simply, it is an approach that has ensured Hampshire County Council has continued to avoid the worst effects of funding reductions that have started to adversely affect other local authorities and enabled us to sustain some of the strongest public services in the country.
7. In line with this strategy there will be no new savings proposals presented as part of the 2020/21 budget setting process. Savings targets for 2021/22 were approved as part of the Medium Term Financial Strategy (MTFS) in July 2018 and detailed savings proposals have been developed through the Transformation to 2021 (Tt2021) Programme which were agreed by Cabinet and County Council during October and November last year. Any early achievement of resources from proposals during 2020/21 as part of the Tt2021 Programme will be retained by departments to use for cost of change purposes.
8. Alongside this, delivery of the Transformation to 2019 (Tt2019) Programme continues. The anticipated delay to delivery of some elements of the programme has been factored into our financial planning and whilst sufficient one-off funding exists both corporately and within departments to meet any potential gap over the period, the need to commence the successor programme does mean that there will be overlapping change programmes.
9. The report also provides an update on the financial position for the current year. Overall the outturn forecast for the Department for 2019/20 is a budget under spend of £0.4m.
10. The proposed budget for 2020/21 analysed by service is shown in Appendix 1.
11. The report also reviews the level of charges for the provision of services which require approval and provides a summary of these charges in Appendix 2.
12. This report seeks approval for submission to the Leader and Cabinet of the revised budget for 2019/20 and detailed service budgets for 2020/21 for Adult Social Care within the Adults' Health and Care Department. The report has been prepared in consultation with the Executive Member and will be reviewed by the Health and Adult Social Care Select Committee. It will be reported to the Leader and Cabinet on 3 February 2020 to make final recommendations to County Council on 13 February 2020.

Section D: Contextual Information

13. The current financial strategy which the County Council operates, works on the basis of a two year cycle of delivering change to release resources and close the anticipated budget gap. This provides the time and capacity to properly deliver major transformation programmes every two years, with deficits in the intervening years being met from the Budget Bridging Reserve (BBR) and with any early delivery of resources retained by departments to use for cost of

change purposes or to cash flow delivery and offset service pressures. The model has served the authority well.

14. The County Council's action in tackling its forecast budget deficit and providing funding in anticipation of further reductions, has placed it in a very strong position to produce a 'steady state' budget for 2020/21, giving itself the time and capacity to develop and implement the Transformation to 2021 (Tt2021) Programme to deliver the next phase of savings totalling £80m. This also avoids the worst effects of sudden and unplanned decisions on service delivery and the most vulnerable members of the community. Consequently, there are no departmental savings targets built into the 2020/21 budget. However, other factors will still affect the budget, such as council tax decisions and inflation.
15. The Spending Round 2019 (SR2019) announcement took place on 4 September and the content of the proposed settlement and the issues it addressed were pleasing to see as they mirrored the key issues that we have been consistently raising for some time directly with the Government and through our local MPs.
16. In overall terms, there was a net resource gain to the County Council, albeit that is only for one year at this stage. However, the cost pressures we face, particularly in adults' and children's social care services are significantly outstripping the forecasts that were included in the original Tt2021 planning figures.
17. Without the additional injection of funding, the County Council would have faced a revised deficit position of nearly £106m by 2021/22, but the additional resources bring us back to a broadly neutral position. It is worth highlighting that the additional grant from the £1bn plus the 2% adult social care precept generates additional resources of around £29m for the County Council, but this must be measured against growth pressures and inflation across adults' and children's social care services which total nearly £57m for 2020/21 alone.
18. The Autumn Budget which was planned for 6 November was cancelled and it is now anticipated that there will be a Budget in Spring 2020. The provisional Local Government Finance Settlement was announced on 20 December 2019 and confirmed the grant figures and council tax thresholds for 2020/21 in line with the SR2019 and the clarification provided in the subsequent technical consultation. The final grant settlement for 2020/21 is not due out until this report has been dispatched, however it is not anticipated that there will be any major changes to the figures that were released in December 2019.
19. Adults' Health and Care has been developing its service plans and budgets for 2020/21 and future years in keeping with the County Council's priorities and the key issues, challenges and priorities for the Department are set out below.

Section E: Departmental Challenges and Priorities

20. At a national and local level, the long term financial forecast for Adult Social Care remains challenging as we are seeing demand continuing to increase at a faster rate. This includes the growth in the numbers of adults with eligible care needs, including an increase in the number of vulnerable/frail older people (particularly those aged 85 or above), growing complexity of care needs e.g. the increasing prevalence of multiple conditions including higher levels of dementia, and sustained increases in the numbers and costs associated with supporting children with disabilities and complex needs transitioning to adulthood.
21. Furthermore, other factors such as the financial challenges being experienced by NHS organisations which have a direct bearing on social care pressures, regulation and the National Living Wage (NLW) are also impacting on direct provision and the independent sector in terms of increasing inflationary pressures. These pressures are also not unique to Hampshire and are representative of the position nationally. Together, growth and price pressures as outlined, have created a significant pressure on the Adult Social Care budget in 2019/20 as reported throughout the year.
22. In recent years the pressures have been partially offset through the availability of non-recurrent funding within the Integrated Better Care Fund (IBCF) and Winter Pressures grant. However, in Hampshire we have also invested a proportion of this invaluable resource to influence and implement much needed system change in particular to reduce numbers of delayed transfers of care, (DToC) out of hospital. These efforts whilst effective in the short term, will not sufficiently, over the medium term, impact on the underlying increase in demand in clients requiring adult social care services alone. This is further compounded as the element of this short-term funding used to date to directly support the underlying client base has inherently been at risk.
23. Subsequently, contained within the SR2019 it was confirmed that all non-recurrent grants received by Adult Social Care departments in 2019/20 will continue into 2020/21 at the same level thereby alleviating the immediate risk outlined above. However, as the SR2019 announcement only confirms funding for one year (2020/21) this offers security and certainty only in the short term.
24. In addition to the continuation of non recurrent grants it was also announced within the SR2019 that a further additional funding resource of £1bn nationally, alongside the possibility of local authorities being able to continue the 2% adult social care precept, would be made available to Social Care departments in 2020/21. However, this also only offers certainty for the stated period.
25. Whilst this funding is most welcome, within the context of the Department's current financial position it will only be sufficient to ameliorate a proportion of the increases in care costs over the last year, with the residual gap being subject to the achievement of a departmental cost recovery plan.
26. In essence the impact on Adults' Health and Care of the recent funding made available through SR2019 will be to partially offset the increases in costs seen over the last year alone, with no further scope to impact on likely future pressures or affect the departmental transformation targets.

27. Due to the increasing demand pressures in the form of both volume and complexity of clients the Department is therefore required to be ever more innovative in its engagement with providers to maintain prices at a sustainable and affordable level. The need to maintain a fair price for services purchased from provider partners is critical for the stability of this market which in turn will allow the Department to ensure adequate services remain available to meet client needs into the future.
28. The recent unprecedented increases in the volumes and cost of care has made it increasingly more challenging for the Department to continue to manage the budget pressure. Despite this, at the same time, the Department has responded positively to the on-going and increasing requirement to reduce cost to offset reductions in overall Council funding. With this in mind the full Transformation to 2019 (Tt2019) saving of £55.9m was removed from the budget in 2019/20, with a further £43.1m set to be removed in 2021/22 in respect of Tt2021. These reductions in funding will be offset, in part, by regular additional annual investments of approximately £13.5m from corporate support to mitigate known areas of demographic and complexity growth.
29. In contrast to the last three years, the Department is finding it increasingly difficult to report positively when compared to earlier forecasts, indeed the position for 2019/20 has been adversely affected due to the scale of the increase in care costs which had not been fully foreseen. Consequently, this has meant that the Department will be required, in 2019/20, to make full utilisation of all available corporate support and likewise its own Cost of Change Reserve. That said the current position forecast for the Departments' Tt2019 programme would indicate that the saving is on track to be delivered in line with the agreed timescales. This has been achieved through the adoption of a challenging transformation programme that continues to be particularly successful in enabling; Social Workers to increasingly adopt a strengths-based approach, better and wider use of technology and increased Extra Care and Supported Living as an alternative to higher cost residential care.
30. 2019/20 has been a period of consolidation with small number of local structural changes such as the standing up of a separate Physical Disability Care Management team, but with no major organisational change implemented in the year. However, the Department has continued developing plans for major organisational changes as part of Tt2019 culminating in proposals with staff for consultation that will conclude in February 2020. Once finalised the changes will be fully implemented within the first six months of 2020/21.
31. Within the last two years considerable work has been undertaken by the Department to ensure that sufficient controls and approvals are in place to support the processes to maintain a reconciled budgeted staffing establishment at all times. In turn this solid platform has helped to facilitate sufficiently robust proposals to deliver staffing savings for Tt2019 and to effectively manage the budget throughout the year.
32. The supply of affordable staffing resource within the In-House Residential and Nursing Care homes continues to be a major challenge for the Department in

part due to wider labour market challenges. There continues to be significant levels of vacancies and staff absences that are covered through higher cost agency staff, although this has reduced significantly in the last year. In large part this is due to the Department investing both time and short term financial resources to address the issue. The scale of the remaining reduction required is still material and work in this area will need to continue at pace over the next two years. The cost reduction will continue to be achieved through measures that improve permanent recruitment to vacant posts, right sizing roles and through the more efficient rostering of staff. The budget for 2020/21 is set on the basis of a full establishment and cover for absence and vacancies based on levels observed in 2019/20 with the expectation that further savings will accrue during the year. Furthermore, gains achieved in the last year on agency spend through the new agency arrangement between Hampshire County Council and Commercial Services Kent Ltd (Connect2Hampshire) are anticipated to continue and grow through a greater degree of consistency and continuity in staff resource available.

33. The demand from people of working age with physical and learning disabilities is growing more rapidly and although positive work to improve value for money in commissioning has created good financial and quality outcomes, the increase in demand through transition from childhood is beginning to outweigh this. Advances in medical care have had a positive impact on life expectancy and have meant that people with very complex needs are surviving into adulthood when historically they might not have done so. They are also living a fuller adult life and are demanding support to live as independently as possible.
34. Whilst in the medium term, this represents a growing pressure on Adult Social Care budgets the Department are focussing efforts through the Tt2019 and Tt2021 Programmes to minimise the impact of this pressure whilst improving outcomes and life experiences for service users, including employment opportunities. This will be achieved through innovation (including multi million pound investment in Technology Enabled Care and modern Extra Care housing / Supported Living) alongside efficiencies and service reductions. Additionally, the strengths-based way of operating, coupled with Least Restrictive Practise approaches have been increasingly working to mitigate costs and provide better alternatives for clients within the younger adults' service area.
35. The Government's commitment to the NLW will continue to have a substantial impact on the purchased care budget. The increase in the NLW from April 2020 was announced at the end of December 2019 and will see it rise to £8.72 from £8.21, an increase of £0.51, (6.2%) for all working people aged 25 and over. When taking decisions to set the 2020/21 budget, prior to this announcement, the Department had assumed a more modest increase of 4.8%, which was consistent with previous year increases and this was able to be met within the proposed 2020/21 budget for care packages. The subsequent announcement that the NLW would rise by a further 1.4% than had been assumed does present the possibility of a further pressure to the Department. However, should the impact of this cost filter through to the price paid for care

in 2020/21 this cost will be met from contingencies held corporately specifically to mitigate this risk.

36. The Department is faced with care pressures arising both from the changing demography and complexity of clients, as well as the complex factors, such as tougher regulation, that change the nature of the market in which suppliers and the County Council engage. The frequency upon which we can secure Nursing and Residential care at the County Council's rates is continuing to lessen which is consequently pushing up the average cost per placement. Although difficult to attribute to any single reason it is believed a major factor is shortages in the supply of care workers, which comes against a backdrop of high employment within the county. Furthermore, reductions in the number of registered providers are both increasing costs and shifting the balance of the market. This is against the backdrop of the increasing need for the County Council to make greater volumes of placements to improve performance in terms of more timely discharges from hospital. Within the last year the Department has worked tirelessly to prevent these pressures from becoming unsustainable through work with the market, however this has had limited impact when considered alongside the scale of the recent increase in demand. Within the next year there will be a further review of the Department's standard rates for older adults, which will be concluded and implemented during 2020/21. Alongside this the Department are developing a more innovative strategic approach to the commissioning and procurement of Residential and Nursing care that will seek to make available greater volumes of care at affordable rates.
37. The purchase of care for clients within their own home continues to be a challenging area for the Department. However, in the last year the new non-residential framework and the introduction of a new process for making payments has streamlined and simplified the transactional engagement with providers. Evidence to date would support that it is has improved relationships with providers and made available greater levels of care provision with waiting lists at their lowest point for many years and new entrants to the market secured. Consequently, the ability to meet a greater volume of need has been a contributory factor in the level of financial pressure observed in the last year.
38. In response the Department has implemented a series of workstreams designed to both reduce the average hourly price of care and ensure consistently that only appropriate care is secured. Progress against the plan is being monitored at a granular level on a weekly basis ensuring that work is being consistently applied across the County and is delivering the necessary cost reductions. Furthermore, it is anticipated that the planned greater coverage of reablement across the County will enable the Department to increase the client base, to meet demand, whilst ensuring that appropriate levels of support are provided in each case, thereby reducing the volume of care overall. To date there has been a significant reduction achieved but there is still much further to travel to maintain this position and deliver further required reductions within the set eighteen month timeframe.
39. Access to both Residential/Nursing care and Home Care have a major impact on the Department's capacity to support flow from NHS hospitals, as pressure

is maintained to reduce the number of DToC, in line with the lower trajectory agreed with the NHS. To address the national deterioration in DToC an improvement at a local level became a dependency for the additional IBCF funding from 2017/18. Hampshire's performance in this area, partly linked to focused leadership and significant financial input, has improved markedly in the last two years, especially since the summer of 2018. That said, local delivery on DToC continues to be challenging although recent funding announcements have provided much needed resource to support work to achieve the ongoing targets. DToC performance continues to be monitored closely by the government through quarterly IBCF performance outcome statements and DToC returns from the NHS.

40. The other key priority is the Adults' Health and Care Transformation Programme, which is currently forecast to successfully complete delivery of £45.8m of the £55.9m Tt2019 savings by the end of 2019/20. The Department remains focussed and committed to the full delivery of the £55.9m saving by the end of 2020/21, notwithstanding that the final savings will be the hardest to achieve at the same as mitigating the significant operational pressures that have been outlined above. The cash impact of this is reflected accordingly within the proposed budget for 2020/21.
41. Additionally, the Department are set to secure cumulative savings of £13.2m for Tt2021 by the end of 2020/21 which is also reflected within the budget. It is essential that these savings are achieved within the currently planned timeframes in order to secure the much needed cash injection to the departmental Cost of Change Reserve, that in turn, will be utilised to support the forecast transformation costs associated with these programmes.

Section F: 2019/20 Revenue Budget

42. Enhanced financial resilience reporting, which looks not only at the regular financial reporting but also at potential pressures in the system and the achievement of savings being delivered through transformation, has continued through periodic reports to the Corporate Management Team (CMT) and to Cabinet.
43. There is an expected favourable outturn forecast for 2019/20 of £0.4m. However, this is after the utilisation of all non recurrent funds including the Cost of Change Reserve to offset significant service pressures that have materialised in the year. This position is also dependant on securing Tt2019 cash savings of £41.5m and Tt2021 early savings of just under £0.1m. The forecast under spend of £0.4m will be transferred to the Cost of Change Reserve, bringing the reserve balance to £0.4m by the end of 2019/20. This sum will be utilised in full, within the following year to help fund planned delays in savings and one-off project costs.
44. Whilst the net position on the Adult Social Care service budgets is a £0.4m under spend, there are some key variances. The main recurrent pressures in

2019/20 relate to the provision of care, both purchased and provided in house with pressures of £11.4m and £1.0m respectively.

45. The pressure on purchased care is primarily within the Older Adults service area with a net pressure of £7.3m. This has arisen from sustained increases in care volumes and average price increases since the latter half of 2018/19 with the full year effect of those increases becoming apparent in 2019/20. This has largely arisen due to the need to support greater throughput of clients out of hospital. In the last months there has been a stabilising of the position with limited further increases overall and indeed some reductions.
46. Furthermore, there are various savings across the Departments' non care budgets, including departmental wide staffing budgets, that total £0.3m.
47. In response the Department is utilising the full balance of their Cost of Change Reserve to offset these pressures in 2019/20. The Department started the year with a balance of £38.6m in cost of change and will use £26.1m to offset planned late delivery of Tt2019 and in year transformation costs, with £12.1m used to offset the recurrent service pressures outlined above, leaving the residual balance of £0.4m to carry forward into 2020/21.
48. In addition, to reach this reported position for 2019/20 the Department have fully utilised all available corporate support for recurrent activity.
49. The 2019/20 forecast outturn has also been reliant on the availability of both the Winter Pressure Grant of £4.8m and the third year of the additional IBCF allocation which totalled £6.8m. Both of these amounts have been utilised in accordance with the purpose upon which they were given, namely additional social care activity to alleviate pressures on the NHS in accordance with an expenditure plan that has been discussed with NHS partners and has been submitted to the Department of Health and Social Care. These funds will be sufficient to cover all related costs in year to deliver the associated projects.
50. However, as a consequence of creating greater flow of patients leaving hospital there is a subsequent impact on volumes of clients in receipt of long-term care packages. To meet this need the Department have had to, and will likely need to further, increase capacity for long term care. This further cost has a lasting impact beyond the year in which these grants have been awarded and, as has been observed in the latter half of 2018/19, if left unaddressed will likely create a pressure in later years.
51. The budget for Adult Social Care has been updated throughout the year and the revised budget is shown in Appendix 1.

Section G: 2020/21 Revenue Budget Pressures and Initiatives

52. The Departments' budget has been reviewed in detail as part of the 2020/21 budget preparation process. Significant recurrent contingencies are not being held by the Department as all available recurrent funding has been allocated to service budgets to meet the assessed cost for 2020/21.

53. As outlined in the previous section a significant pressure has occurred against the budget for purchased care in the last year. Whilst in 2019/20 departmental cost of change reserves are expected to be sufficient to meet this pressure this is a non-recurrent funds which once exhausted cannot be utilised again. Therefore from 2020/21 budget setting this pressure has been met by the County Council, in the main, through the additional funding made available as part of the SR2019. The total additional funding for 2020/21 that the Department have built into the proposed budget is shown below and reflects the additional levels approved at Cabinet in October:
- £13.5m - Complexity and demography
 - £3.3m - Re-baselining of the budget to reflect the additional growth to date

The continuation of the IBCF and Winter Pressures grants into 2020/21 as announced at the SR2019, also represents a positive change from previous assumptions. This has ensured that the pressure has not been further exacerbated. This, together with the £3.3m re-baselining figure form the £10.0m step change as reported within the MTFs that went to Cabinet in October and County Council in November 2019.

54. In addition to the above, up to £4.0m of one-off funding for Adults' Health and Care was approved within the MTFs to provide potential cash flow support that may be required given the current pressure on care packages. This sum is not included within the budget, as it has been retained in Contingencies, but will be available during 2020/21 should it be required by the Department.
55. As highlighted previously, the totality of additional funding is insufficient to meet the likely full extent of the pressure going into 2020/21, accordingly the Department is required to make additional cost recovery savings of £9.4m by end of 2021/22 of which £4.4m is planned to be achieved in 2020/21 and is therefore reflected within the proposed budget. Plans to achieve these savings have been drawn up and are currently being implemented. These plans hinge on ensuring that clients needs are minimised through reablement and subsequently only appropriate care is provided and is always purchased efficiently to take advantage of the best available price.
56. Whilst a prudent level of increase in pressure has been taken account of within the proposed budget this is a notoriously volatile area where projections are often difficult to make with precision. Should a further pressure materialise it would arise through increases in demand and complexity of clients. Despite this the current anticipated pressure is expected to be met in full, from the corporate support made available within the proposed budget for 2020/21.
57. For 2020/21 the budget for the In-House Care residential and nursing units has been increased to reflect the level of spend forecast in 2019/20. However, there is a significant amount of work being undertaken within the service to reduce costs, primarily those arising from agency usage, that will then enable the Department to release a saving in 2020/21 and later years

58. The continuation of the IBCF and Winter Pressures grants into 2020/21 of £6.8m and £4.8m respectively, as announced as part of SR2019 will require a full spending plan to be developed and discussed with NHS partners as part of the wider Better Care Fund. It is intended that this funding will cover the direct costs associated with schemes to reduce the number of delayed transfers of care and contribute much needed funding to offset the associated impact on relevant ongoing long-term care package costs. The purpose and value of this funding in 2020/21 is the same as the 2019/20 grant.
59. The 2020/21 budget is set on the basis that the Department achieves £9.7m of early delivery Tt2021 savings. It is essential that this is achieved in order to fund the planned transformation costs, particularly as there isn't a material balance within the Cost of Change Reserve forecast to be brought forward from 2019/20. To supplement this however there is both the availability of agreed one-off support outlined in paragraph 54. above of £4.0m and the previously agreed corporate support to mitigate Tt2019 late delivery / programme costs of £7.2m in 2020/21. Both of these sums will be drawn down during 2020/21 by the Department in the event that they are required.

Section H: Revenue Savings Proposals

60. In line with the current financial strategy, there are no new savings proposals presented as part of the 2020/21 budget setting process. Savings targets for 2021/22 were approved as part of the MTFs by the County Council in July 2018. Savings proposals to meet these targets have been developed through the Tt2021 Programme and were approved by Executive Members, Cabinet and County Council in October and November last year.
61. Some savings will be implemented prior to April 2021 and any early delivery of savings in 2020/21 can be retained by departments to meet cost of change priorities. It is anticipated that £9.7m of savings will be achieved in 2020/21 and this has been reflected in the detailed estimates contained in Appendix 1.

Section I: 2020/21 Review of Charges

62. For Adult Social Care, the 2020/21 revenue budget includes income of £69.2m from fees and charges to service users. This is an increase of £5.7m (8.9%) on the revised budget for 2019/20.
63. Details of current and proposed fees and charges for County Council provided services for 2020/21 where approval is sought are outlined in Appendix 2.
64. The charges proposed reflect the full cost rate applicable for County Council clients where they are assessed as being able to afford this cost. Furthermore, where these services are purchased by external organisations this charge reflects the basic cost whereby additional specific charges will be levied dependant the additional resources required to safely support the client.

65. As agreed by the Executive Member for Adult Social Care and Health (27 September 2018) delegated authority has been given to the Director of Adults' Health and Care to approve, in respect of Meals on Wheels, the annual price rises for the service users which will be capped to no more than 2.5% above the contractual annual uplift with the service provider. It has been agreed that this provision will remain in place until such time that there is no longer a subsidy provided on this service by the County Council.

Section J: Budget Summary 2020/21

66. The budget update report will be presented to Cabinet on 6 January and includes provisional cash limit guidelines for each department. The cash limit for Adults' Health and Care in that report is £421.3m which includes budgets for Adult Social Care (£371.1m) and Public Health (£50.2m). This is a £39.1m increase on the previous year. This report focusses on Adult Social Care with Public Health being covered in a separate report.
67. Appendix 1 sets out a summary of the proposed budgets for the service activities provided by Adult Social Care for 2020/21 and show that these are within the provisional cash limit set out above.
68. In addition to these cash limited items there are further budgets which fall under the responsibility of Adult Social Care, which are shown in the table below:

	2020/21	
	£'000	£'000
Cash Limited Expenditure	483,026	
Less Income (Other than Government Grants)	(111,909)	
Net Cash Limited Expenditure		371,117
Less Government Grants:		
• Local Community Voices Grant	(100)	
• Independent Living Fund	(4,082)	
• Better Care Fund	(18,907)	
• Improved Better Care Fund	(11,452)	
• War Windows Pension Grant	(505)	
• Social Care in Prisons Grant	(106)	
Total Government Grants		(35,152)
Total Net Expenditure		335,965

REQUIRED CORPORATE AND LEGAL INFORMATION:

Links to the Strategic Plan

Hampshire maintains strong and sustainable economic growth and prosperity:	Yes / No
People in Hampshire live safe, healthy and independent lives:	Yes / No
People in Hampshire enjoy a rich and diverse environment:	Yes / No
People in Hampshire enjoy being part of strong, inclusive communities:	Yes / No

Other Significant Links

Links to previous Member decisions:	
<u>Title</u>	<u>Date</u>
Transformation to 2021 – Revenue Savings Proposals (Executive Member for Adult Social Care and Health) http://democracy.hants.gov.uk/documents/s38112/Report.pdf	16 September 2019
Medium Term Financial Strategy Update and Transformation to 2021 Savings Proposals http://democracy.hants.gov.uk/documents/s39439/MTFS%20Tt2021%20Report.pdf	Cabinet – 15 October 2019 County Council – 7 November 2019
Budget Setting and Provisional Cash Limits 2020/21 (Cabinet) http://democracy.hants.gov.uk/documents/s42775/Dec%20Report%20-%20Cabinet%20-%20FINAL.pdf	6 January 2020
Direct links to specific legislation or Government Directives	
<u>Title</u>	<u>Date</u>

Section 100 D - Local Government Act 1972 - background documents	
<p>The following documents discuss facts or matters on which this report, or an important part of it, is based and have been relied upon to a material extent in the preparation of this report. (NB: the list excludes published works and any documents which disclose exempt or confidential information as defined in the Act.)</p>	
<u>Document</u>	<u>Location</u>
None	

EQUALITIES IMPACT ASSESSMENT:

1. Equality Duty

The County Council has a duty under Section 149 of the Equality Act 2010 ('the Act') to have due regard in the exercise of its functions to the need to:

- Eliminate discrimination, harassment and victimisation and any other conduct prohibited by or under the Act with regard to the protected characteristics as set out in section 4 of the Act (age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex and sexual orientation);
- Advance equality of opportunity between persons who share a relevant protected characteristic within section 149(7) of the Act (age, disability, gender reassignment, pregnancy and maternity, race, religion or belief, sex and sexual orientation) and those who do not share it;
- Foster good relations between persons who share a relevant protected characteristic within section 149(7) of the Act (see above) and persons who do not share it.

Due regard in this context involves having due regard in particular to:

- The need to remove or minimise disadvantages suffered by persons sharing a relevant protected characteristic that are connected to that characteristic;
- Take steps to meet the needs of persons sharing a relevant protected characteristic that are different from the needs of persons who do not share it;
- Encourage persons sharing a relevant protected characteristic to participate in public life or in any other activity in which participation by such persons is disproportionately low.

2. Equalities Impact Assessment:

The budget setting process for 2020/21 does not contain any proposals for major service changes which may have an equalities impact. Proposals for budget and service changes which are part of the Transformation to 2021 Programme were considered in detail as part of the approval process carried out in October and November 2019 and full details of the Equalities Impact Assessments relating to those changes can be found in Appendices 5 to 8 in the October Cabinet report linked below:

<http://democracy.hants.gov.uk/documents/s39444/Appendix%205%20ASC%20EIAs.pdf>

Budget Summary 2020/21 – Adults' Health and Care Department

Service Activity	Original Budget 2019/20 £'000	Revised Budget 2019/20 £'000	Proposed Budget 2020/21 £'000
Director	1,481	1,691	1,511
Strategic Commissioning and Business Support	15,172	16,654	14,614
Transformation	3,714	5,548	4,013
Older Adults			
Older Adults Community Services	125,484	134,546	120,407
Reablement	11,069	10,821	9,455
	136,553	145,367	129,862
Younger Adults			
Learning Disabilities Community Services	106,657	112,445	116,115
Physical Disabilities Community Services	-	-	31,399
Mental Health Community Services	16,998	17,736	18,576
Contact Centre	1,248	2,544	2,530
	124,903	132,725	168,620
HCC Care	42,173	42,277	43,885
Governance, Safeguarding and Quality	3,559	3,937	3,650
Centrally Held	5,482	25,130	4,962
Total Adult Services	333,037	373,329	371,117
Public Health	52,418	52,418	50,219
Net Cash Limited Expenditure	385,455	425,747	421,336

Review of Fees and Charges 2019/20 – Adults' Health and Care Department

	Income Budget 2020/21 £'000	Current Charge £	Proposed Increase % (Rounded to 1DP)	Proposed New Charge £
Charges for HCC provided care:				
Full cost weekly charge (HCC in-house residential and nursing establishments, including respite)				
Nursing care for Older People (per week)	5,280	815.08	2.8	837.90
Residential care for Older People (per week)	6,000	735.49	2.8	756.07
Residential care for Dementia (per week)	In above	794.78	2.8	817.04
Residential care for Adults with a Learning Disability (per week):				
Orchard Close	94	1,036.91	2.8	1,065.96
Jacobs Lodge	In above	866.60	2.8	890.89
Meals on Wheels	2,034	4.90	4.7	5.13

Other Charges:

Service users' contributions for non-residential care (chargeable services) are calculated on the actual cost of care provided to service users

In line with corporate policy all other charges will be increased by an inflation rate of 2.1%

HAMPSHIRE COUNTY COUNCIL

Decision Report

Decision Maker:	Executive Member for Adult Social Care and Health
Date:	15 January 2020
Title:	Capital Programme for 2020/21 to 2022/23
Report From:	Director of Adults' Health and Care and Deputy Chief Executive and Director of Corporate Resources

Contact name: Graham Allen and Dave Cuerden

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Purpose of this Report

1. The purpose of this report is to seek approval for the submission of the Adult Social Care and Health capital programme to the Leader and Cabinet.

Recommendation(s)

2. To approve for submission to the Leader and Cabinet the capital programme for 2020/21 to 2022/23 as set out in Appendix 1 and the revised capital programme for 2019/20 as set out in Appendix 2.
3. It is recommended that the project to improve pedestrian and vehicle safety at the in-house units totalling £0.33m, being funded from the 2019/20 Capital Programme, be approved.

Executive Summary

4. This report seeks approval for submission to the Leader and Cabinet of the proposed capital programme for 2020/21 to 2022/23.
5. The report has been prepared in consultation with the Executive Member and will be reviewed by the Health and Adult Social Care Select Committee. It will be reported to the Leader and Cabinet on 3 February 2020 to make final recommendations to County Council on 13 February 2020.

6. The report considers the schemes which it is proposed to include in the capital programmes for 2020/21, 2021/22 and 2022/23 and also presents the revised programme for 2019/20.
7. This report highlights that as part of the Medium Term Financial Strategy Update and Transformation to 2021 Savings Proposals Report that was approved by Cabinet in October 2019 investment of up to £70m investment in Older Persons and Younger Adults Extra Care was approved subject to a satisfactory business case being produced for each scheme.
8. The proposals contained in this report are derived from the departmental service plans which have been developed to support the priorities of the Strategic Plan.

Contextual information

9. Executive Members have been asked to prepare proposals for:
 - a locally-resourced capital programme for the three-year period from 2020/21 to 2022/23 within the guidelines used for the current capital programme including the third year, 2022/23, at a similar level to 2021/22.
 - a programme of capital schemes in 2020/21 to 2022/23 supported by Government grants as announced by the Government.
10. The capital guidelines are determined by the medium term financial strategy which is closely linked to 'The 'Serving Hampshire - Strategic Plan 2017 – 2021' with its strategic aims and Departmental Service plans to ensure that priorities are affordable and provide value for money and that resources follow priorities.

Locally resourced capital programme

11. The cash limit guidelines for the locally resourced capital programme for the Adult Services service set by Cabinet are as follows:

	£000
2020/21	481
2021/22	481
2022/23	481

12. Executive Members may propose supplementing their capital guidelines under the ‘prudential framework’ agreed by Cabinet at its meeting on 24 November 2003, as amended by Cabinet in February 2006, thereby integrating more closely decisions on revenue and capital spending in support of strategic aims. The additions may include virements from the Executive Member’s revenue budget or use of temporary unsupported borrowing, to provide bridging finance in advance of capital receipts or other contributions.

Proposed capital programme 2020/21 to 2022/23 – locally resourced schemes

13. The Adult services capital programme for locally resourced schemes reflects the strategic aims of enabling people to live safe, healthy and independent lives, enjoy a rich and diverse environment and be part of a strong and inclusive community. It includes contributions towards the costs of the following:

- Priority works on residential and nursing care premises to meet the needs of residents and service users to satisfy the requirements of regulators including the Care Quality Commission, The Fire Service and the Health and Safety Executive.

14. The detailed programme in Appendix 1 and expenditure for 2020/21 is summarised in the table below:

	£000
Operational building, including residential and nursing care, improvements	481
Total	<u>481</u>

Revised 2019/20 capital programme

15. The revised 2019/20 capital programme for Adults is shown in Appendix 2 and totals £25.98m. The changes since the capital programme was approved in January 2019 are summarised below:

	2019/20 £000
Approved Programme	13,422
Carry Forward from 2017/18	11,638
Disabled Facilities Grant (Better Care Fund)	<u>920</u>
Total	<u>25,980</u>

16. The schemes carried forward from previous years of £11.6m were agreed by Cabinet on 17 June 2019. These predominantly relate to the Extra-Care housing and Adults with a Disability Accommodation programmes.
17. Projects are being developed to undertake pedestrian and vehicle safety at a number of the in-house units at an estimated cost of £0.33m over the next year. It is recommended that this is funded from the 2019/20 capital programme.

Transformation of Adult Learning Disability Services

18. On the 27 October 2011, the Executive Member for Policy and Resources approved the Adult Learning Disability (LD) Business Case for the early implementation phase of LD transformation and the broader programme. The business case links to the consultation of the transformation proposals reported to the Executive Member for Adult Social Care on 16 May 2011.
19. The Executive Member for Policy and Resources Decision Day on 21 July 2011 approved that 100% of LD capital receipts to be reinvested in LD service re-provision.
20. The Executive member for Policy and Resources on 9 March 2017 approved the revised Business case plan. The financial position has evolved since October 2011 largely as a result of the value likely to be secured by selling surplus property and the consequent impact on prudential borrowing. The business case improved with the use of the Community Grant funding of up to £3.4m.
21. The LD Transformation programme has been successfully delivering capital projects to update and improve the department's LD estate. In that time the programme has successfully delivered 7 schemes through the delivery of new facilities and significant improvements to existing assets. The programme has two further schemes in its programme and is expected to be operating within budget by the end of 2019/20.

Older Persons Extra-Care Housing

22. On the 24 October 2011 Cabinet approved the strategy to extend the development of Older Persons Extra-Care Housing. This included approval of an indicative maximum financial envelope of £45m of capital investment to deliver the programme of work, including transition cost.
23. Capital funding for the extensions to Westholme, Winchester and Oakridge, Basingstoke of £3m was formally approved by the Executive Member for Policy and Resources on 24 January 2013.

24. A review of the Older Persons Extra-Care programme was undertaken in early 2016 and the Executive Member for Policy and Resources reaffirmed the Older Persons Extra-Care programme to the original £45m capital envelope. With this in mind, further work on the remaining programme and project opportunities is being undertaken to ensure the most cost effective programme is identified. Capital funding for future Extra-Care developments will be subject to the development of individual business cases.
25. On 26 September 2018 the Executive Member for Policy and Resources identified three sites for development opportunities in Gosport, Petersfield and New Milton and detailed contract and design work is underway. This is in addition to previously approved schemes in Romsey and Havant.

Younger Adults Extra-Care Housing

26. The Executive Member for Policy and Resources approved the strategic business case for the Adults with a Disability Housing programme in April 2016. The business case approved a borrowing envelope of up to £35m to support the programme to transition service users with a learning and/or physical disability from an existing care home setting to a shared house or individual groups of flats.
27. An update was taken to the Executive Member for Policy and Resources in June 2019, and now progressing the delivery of the last of three tranches of new or refurbished accommodation:

Tranche one – 63 units at a cost of £15.73m (complete)
 Tranche two – 31 units at a cost of £11.15m (1 scheme left to complete)
 Tranche three – 6 units at a cost of £2.20m (in development)

After spending across the three tranches, and allocated funds, there is currently £0.52m left unallocated, as per the table below.

	£000
Spend Tranche 1-3:	29,082
Modification	100
Deregistration allocation:	500
Re-provision allocation:	3,500
Land Value Transfer:	1,300
Unallocated budget remaining:	518
Total	35,000

Accommodation with Care

28. In September 2018 the County Council identified a potential programme of capital investment for the Bed Based Programme. The programme looked to assess what bed based provision would be needed in the future, so that we could invest in the right facilities in the right locations.
29. From this analysis, it was clear that further investment in Extra Care would continue to provide high quality living environments at the same time as reducing the long term costs of care. In October 2019 Cabinet approved up to £70m of further investment in Older Persons and Younger Adults Extra Care funded from prudential borrowing, subject to business cases being approved by the Executive Member for Policy and Resources. As schemes are approved the relevant amount will be added to the Capital Programme and therefore is not included within the proposals contained within this report.
30. In respect of the remainder of the Bed Based Programme officers have been looking at the available data and condition of the existing premises, what investment may be required and what impact that may have on future care costs. From initial analysis it is anticipated that there is not a sufficient return on investment to meet the costs of prudential borrowing that would be required to fund the capital spend. Conversely however it did highlight the requirement for significant investment in our current estate if as a council we wish to retain our in-house provision of residential and nursing homes.
31. In response an in-house review is currently being undertaken that will consider our size and position in the overall market and the extent to which this helps to manage the demand and costs of adults' social care over the longer term. It is therefore proposed to await the outcome of this review and to continue to develop a potential investment plan that can be presented in the next iteration of the MTFs alongside other priorities for capital investment from other departments.

Capital programme supported by Government allocations

32. The locally resourced capital programme is supported by Government grant received from the Ministry of Housing, Communities and Local Government. In 2019/20 the amount of capital funding to Adult Services was £12.56m for the Disabled Facilities Grant (DFG). This funding forms part of the Better Care Fund – Pooled budget which is overseen by the Hampshire Health and Wellbeing Board.
33. The Secretary of State has not yet announced details of individual local authority capital allocations for 2020/21. For planning purposes 2019/20 allocations are being assumed.

34. The DFG of £12.56m is capital money made available to local authorities as part of their allocations to award grants for changes to a person's home. There is a statutory duty for local housing authorities to provide grants to those who qualify. This part of the fund will be governed by the disabilities facilities grant conditions of grant usage as made by the Ministry of Housing, Communities and Local Government under section 31 of the Local Government Act 2003. Therefore, although officially part of the fund, the money cannot be used for other things and will be paid back out of the fund to the relevant district councils.

Capital programme summary

35. On the basis of the position outlined above, the total value of the capital programmes submitted for consideration for the three years to 2022/23 are:

	Schemes within locally resourced guidelines	Additional schemes funded within the prudential framework	Schemes supported by Government allocations (assumed)	Total
	£000	£000	£000	£000
2020/21	481	-	12,561	13,042
2021/22	481	-	-	481
2022/23	481	-	-	481

Revenue implications

36. The revenue implications of the proposed capital programme are as follows:

	Full Year Cost	
	Current Expenditure £000	Capital Charges £000
Schemes within the guidelines		
2020/21	-	26
2021/22	-	26
2022/23	-	26
Total	-	78

37. The total revenue implications for the three years of the starts programme, including capital charges, represent a real term increase of 0.02% over the 2019/20 original budget of Adult Social Care Service.

Conclusions

38. The proposed capital programme for Adult Services as summarised in paragraph 12 is in line with the guidelines set by Cabinet. In addition, it plans to use the allocated Government grants in full. The programme supports the delivery of services countywide and contributes to the strategic aims:

- Hampshire maintains strong and sustainable economic growth and prosperity
- People in Hampshire live safe, healthy and independent lives
- People in Hampshire enjoy a rich and diverse environment
- People in Hampshire enjoy being part of strong, inclusive communities

REQUIRED CORPORATE AND LEGAL INFORMATION:

Links to the Strategic Plan

Hampshire maintains strong and sustainable economic growth and prosperity:	Yes
People in Hampshire live safe, healthy and independent lives:	Yes
People in Hampshire enjoy a rich and diverse environment:	Yes
People in Hampshire enjoy being part of strong, inclusive communities:	Yes

Other Significant Links

Links to previous Member decisions:	
<u>Title</u>	<u>Date</u>
Transformation of Adult Learning Disabilities Services- Property Issues	21 July 2011
Outcome of the Consultation on the proposed closure of four Residential Care Homes and One Day Centre	9 December 2013
Cabinet Capital Programme Review	21 July 2014
Older Persons Extra-Care Programme and update to the Winchester Extra-Care Business Case	21 September 2015
Adult Services Capital Programme 2017/18 to 2019/20 Strategy for the Older Persons Extra-Care Housing and Programme Update	20 January 2017
Transformation of Adult Learning Disabilities Services – Programme Update & Revised Business Plan	09 March 2017
Adults with a Disability Housing Programme: Phase 1 update and additional project approvals	22 September 2017
Adults with a Disability Housing Programme: Progress update and approval to the strategy for Phase 2 new build projects	18 October 2017
Adults with a Disability Housing Programme: Progress update and approval to the strategy for Phase 2 new build projects	9 March 2018
Medium Term Financial Strategy	20 September 2018
Three Extra Care Development Opportunities in Gosport, Petersfield and New Milton – Outcome of Procurement	26 September 2018

Learning Disability Housing - Programme Update	20 June 2019
County Council - Medium Term Financial Strategy Update and Transformation to 2021 Savings Proposals	7 th November 2019
Direct links to specific legislation or Government Directives	
<u>Title</u>	
<u>Date</u>	

Section 100 D - Local Government Act 1972 - background documents	
<p>The following documents discuss facts or matters on which this report, or an important part of it, is based and have been relied upon to a material extent in the preparation of this report. (NB: the list excludes published works and any documents which disclose exempt or confidential information as defined in the Act.)</p>	
<u>Document</u>	<u>Location</u>
None	

EQUALITIES IMPACT ASSESSMENT:

1. Equality Duty

The County Council has a duty under Section 149 of the Equality Act 2010 ('the Act') to have due regard in the exercise of its functions to the need to:

- Eliminate discrimination, harassment and victimisation and any other conduct prohibited by or under the Act with regard to the protected characteristics as set out in section 4 of the Act (age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex and sexual orientation);
- Advance equality of opportunity between persons who share a relevant protected characteristic within section 149(7) of the Act (age, disability, gender reassignment, pregnancy and maternity, race, religion or belief, sex and sexual orientation) and those who do not share it;
- Foster good relations between persons who share a relevant protected characteristic within section 149(7) of the Act (see above) and persons who do not share it.

Due regard in this context involves having due regard in particular to:

- The need to remove or minimise disadvantages suffered by persons sharing a relevant protected characteristic that are connected to that characteristic;
- Take steps to meet the needs of persons sharing a relevant protected characteristic that are different from the needs of persons who do not share it;
- Encourage persons sharing a relevant protected characteristic to participate in public life or in any other activity in which participation by such persons is disproportionately low.

2. Equalities Impact Assessment:

Equalities Impact Assessments outcomes will be carried out on the individual schemes within the capital programme in order to comply with the requirements of the Equality Act 2010

Adult Services

Ref	Project	Construct- ion Works	Fees	Furniture Equipment Vehicles Grants	Total Cost (excluding sites)	Revenue Effect in Full Year	
						Running Costs	Capital Charges
		£'000	£'000	£'000	£'000	£'000	£'000
2020/21 Schemes							
Schemes Supported from Local Resources							
1	Maintaining Operational Buildings including Residential and Nursing Care	241	40	200	481	-	26
2	Disabled Facilities Grant	-	-	12,561	12,561	-	-
Total Programme		241	40	12,761	13,042	-	26

Capital Programme - 2020/21

Site Position	Contract Start		Remarks	Ref
	Date	Duration		
	Qtr	Months		
N/A	1	12	Continuation of programme for the provision / replacement of furniture and equipment in residential / day care establishments, and to upgrade establishments to contemporary standards.	1
N/A	1	12	Grant paid to District Councils to fund adaptations to people's homes	2
+ Projects to be partly funded from external contributions.				

Adult Services

Ref	Project	Construct- ion Works	Fees	Furniture Equipment Vehicles	Total Cost (excluding sites)	Revenue Effect in Full Year	
						Running Costs	Capital Charges
		£'000	£'000	£'000	£'000	£'000	£'000
	2021/22 Schemes						
	Schemes Supported from Local Resources						
3	Maintaining Operational Buildings including Residential and Nursing Care	241	40	200	481	-	26
	Total Programme	241	40	200	481	-	26

Capital Programme - 2021/22

Site Position	Contract Start		Remarks	Ref
	Date	Duration		
	<i>Qtr</i>	<i>Months</i>		
N/A	1	12	Continuation of programme for the provision / replacement of furniture and equipment in residential / day care establishments, and to upgrade establishments to contemporary standards.	3
			+ Projects to be partly funded from external contributions.	

Adult Services

Ref	Project	Construct- ion Works	Fees	Furniture Equipment Vehicles	Total Cost (excluding sites)	Revenue Effect in Full Year	
						Running Costs	Capital Charges
		£'000	£'000	£'000	£'000	£'000	£'000
2022/23 Schemes							
Schemes Supported from Local Resources							
4	Maintaining Operational Buildings including Residential and Nursing Care	241	40	200	481	-	26
Total Programme		241	40	200	481	-	26

Capital Programme - 2022/23

Site Position	Contract Start		Remarks	Ref
	Date	Duration		
	<i>Qtr</i>	<i>Months</i>		
N/A	1	12	Continuation of programme for the provision / replacement of furniture and equipment in residential / day care establishments, and to upgrade establishments to contemporary standards.	4
			+ Projects to be partly funded from external contributions.	

Adult Social Care 2019/20 capital programme

Resources	
1.	Latest programme limit: £000
	Approved Programme 13,422
	Carry Forward from 2018/19 11,638
	Disabled Facilities Grant (Better Care Fund) Adjustment 920
	Total <u>25,980</u>
 Allocated to Projects / Schemes	
2.	Project Extra-care Housing transformation project 3,117
	Maintaining Operational Buildings including Residential and Nursing Care 1,055
	Kitchenettes, Kitchens, Bathrooms Phase 1 Upgrade 211
	Pedestrian and Vehicle Safety 330
	Adults with a Disability Accommodation 7,406
	Disabled Facilities Grant 12,561
	Nursecall 1,300
	Schemes controlled on a starts basis <u>25,980</u>

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